

GOVERNMENT OF INDIA  
NORTH EASTERN COUNCIL SECRETARIAT  
NONGRIM HILLS: SHILLONG - 793003

No. NEC/HRD&E/26/2015

Dated: the 31<sup>st</sup> July, 2018

To,

The Accounts Office,  
PAO, North Eastern Council,  
Nongrim Hills,  
Shillong - 3.

Sub : **Release of Grant-in-Aid (Plan/Non-Recurring) to Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam for "Setting up of Brahmaputra Studies Centre" at Gauhati University Campus, Jalukbari, Gauhati" for the financial year 2018-19 Under Infrastructure Development for Educational Institute in NE Region under MH-2552(35)**

Sanction of the President of India is hereby conveyed to the release of **Rs. 10.00 lakhs (Rupees ten lakh) only as token Money** towards 1<sup>st</sup> instalment with the total approved cost of **Rs. 27.9933 Crore (Rupees twenty seven Crore Ninety nine lakh thirty three thousand) only** vide Administrative approval order even No. dated 31<sup>st</sup> July, 2018 towards non-recurring Grant-in-Aid (General/Creation of Capital Assets) to Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Meghalaya for the purpose of **"Setting up of Brahmaputra Studies Centre" at Gauhati University Campus, Jalukbari, Gauhati** for the financial year 2018-19. The balance amount of 1<sup>st</sup> instalment will be released on receipt of work order of the above mentioned project.

2. The grant-in-aid will be regulated in accordance with the provisions contained in the scheme/guidelines. The Grant-in-Aid is also subject to the provisions contained in chapter 9 of the General Financial Rules, 2017, as amended from time to time, read with the Government of India's decisions incorporated there-under, and any other guidelines which may be issued in this regard, and in particular to the following conditions :-

(i) The grant shall be utilized for the purpose of implementation of the project for **"Setting up of Brahmaputra Studies Centre" at Gauhati University Campus, Jalukbari, Gauhati** Its utilization would result in achievement of quantitative and qualitative targets as per administrative approval order even No. dated 31<sup>st</sup> July, 2018.

(ii) The grantee institution shall remain separate subsidiary bank accounts for the Government Grants received and utilized, In addition, 'a separate Bank Account shall be maintained for the Government Grants

(iii) The Grant released will be utilized by Finance Officer, Gauhati University, Assam for the purpose of **"Setting up of Brahmaputra Studies Centre" at Gauhati University Campus, Jalukbari, Gauhati** Govt. of Assam within 3(three) months for the purpose indicated in para 2(i) above.

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(iv) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Meghalaya may furnish their performance-cum-achievement report on or before 31<sup>st</sup> December,2018 to the sanctioning Authority.

(v) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam should maintain a register of permanent and semi-permanent assets acquired wholly or mainly out of the above grant in the prescribed format and copy should be furnished to the NEC.

(vi) Assets acquired wholly or substantially out of Government Grant shall not be disposed of without obtaining the prior approval of the sanctioning authority of Grant-in-aid.

(vii) The accounts of Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam shall be open for inspection by the sanctioning authority and audit, both by the Comptroller & Auditor General of India under the provision of C&AG(DPC) Act, 1971 in accordance with the provisions laid down in Section 14 of the C&AG (DPC 1971) as amended from time to time and Internal Audit party of the Principal Accounts Office of the Principal cum PAO, M/o DoNER, New Delhi wherever it is called upon to do so.

(viii) The grantee shall submit the Utilization Certificate in the prescribed form **GFR-12-A** duly signed by the Head of Institution/Grantee latest within 3(three) months. The utilization certificate in respect of grants should also disclose whether the specified, quantified and qualitative targets that should have been reached against the amount utilized were in fact reached, and if not, the reasons thereof. They should contain an output based performance assessment instead of input-based performance assessment.

(ix) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam has been exempted from execution of the bond in terms of Rule 231(3) of GFR 2017. Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam shall, however, furnish an undertaking that it will comply with all the terms and conditions stipulated in the sanction order.

(x) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam shall furnish an Audited statement of Accounts of the financial year 2018-19.

(xi) Grant-in-Aid shall be utilized subject to the Economy and other Instructions issued from time to time by the M/o Finance or by the Competent Authority.

(xii) The unspent balance available with Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam have been taken into account.

(xiii) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Meghalaya shall certify that the Utilization certificates due for submission for the Grants-in-aid sanctioned in the previous years containing all the relevant details required under **GFR 238(2)** have been submitted to the satisfaction of the Ministry of DoNER/NEC.

(xiv) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam shall certify that no grants-in-aid for this purpose or activities have been applied for or obtained from any other Ministry or Department of the Government of India or State Government.

(xv) \*(Applicable in case of grantee institution receiving more than 50% of their recurring expenditure in the form of grants-in-aid)

(a) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam shall ensure that all the terms and conditions of the service of their employees are not higher than those applicable to similar categories of employees of Central Government and where it is not in accordance with above, the relaxation of Ministry of Finance has been obtained as required under GFR 209 (6) (iv) (a)

(b) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam will make reservations for Scheduled Castes and Scheduled Tribes or OBC in the posts or services under its control on the lines indicated by the Government of India.

(xvi) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam shall adhere to all the relevant provisions of GFR and any other institutions/guidelines issued by the Government from time to time, while making procurement/purchases of goods and service including compliance to GFR provisions in case of out-sourcing of services and engagement of consultants.

(xvii) Before release of payment involved in this sanction, the Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam will have to execute a bond in the prescribed format binding themselves jointly and severally to abide by the conditions of grant-in-aid. In the event of failing to comply with the conditions or committing breach of the conditions of the bond, the signatories of the bond shall be jointly and severally liable to refund to the President of India, the whole or part amount of the grant with interest at ten per cent per annum thereon or the sum specified under the bond.

(xviii) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam should send pre-receipted bill to this Ministry i.e NEC Secretariat, Shillong for payment of the amount drawn against this sanction.

(xix) In no case the grant released under this sanction will be utilized for any purpose other than those indicated in the sanction. The grantee shall not divert the grants and entrust execution of the Scheme or work concerned to another Institution or Organization and shall abide by the terms & conditions of the grant and follow/adhere to all the relevant provisions of GFR regarding Grants in aid. If the grantee fails to utilize the grant for which the same has been sanctioned or does not adhere to the terms & conditions of Grant and GFR provisions, the grantee/Institution shall be required to refund the grant with interest @ 10% per annum.

(xx) Certified that all Utilization Certificates (UCs) due for rendition have been submitted by Finance Officer, Gauhati University, Assam for all the schemes/programmes/projects of the Ministry/Department and no Utilization Certificates (UCs) is pending for the previous years/periods against Finance Officer, Gauhati University, Assam

(xxi) The grantee institution shall not utilize the interest earned on the recurring/non-recurring grants-in-aid released to the institution for any purpose. The interest earned shall be indicated in UC which can be either adjusted in next release or to be refunded to GOI after grants -in-aid sanctioned is utilized.

(xxi) Noted at Serial No. 117 in the Register of Grants

(xxii) Finance Officer, Gauhati University, Assam /Govt. Society/Undertaking of the Govt. of Assam will act as Drawing and Disbursing Officer for this sanction.

3. The total release under this object head, including the present sanction would be Rs. 363.86 lakhs during the current financial year as against the Budget provision of Rs. 3000.00 lakhs for the financial year 2018-19.

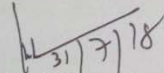
4. The above expenditure is debitable to the scheme "Infrastructure Development of Educational Institutes in NE Region" against the following head of account

Demand No. 023  
Major Head - 2552  
Sub Major Head - 00  
Minor Head -800  
Sub Head- 49  
Detailed Head -00  
Object Head -35 Grants for Capital Assets.

5. The bank details of the Grantee instruction for making payment are as below :-

Name of the beneficiary	Name of Bank where A/c of Org Held	Address/Code Bank Branch	A/C No.	MICR Code and IFSC Code
Finance Officer, Gauhati University, Assam	State Bank of India	SBI, Gauhati University Branch	10243131817	IFSC Code : SBIN0002060 & MICR Code : 781002015

7. This issues with the concurrence of Integrated Finance Wing vide their Dy. No. 161 dated 25/07/2018

  
(Kh. Siile Anthony)  
Director (HRD&E)


No.NEC/HRD&E/26/2015

Dated: the 31<sup>st</sup> July,2018

1. The Finance Officer, Gauhati University, Assam for information and necessary action. The money receipt of **Rs. 10.00 lakhs (Rupees ten lakh )** only should be sent to the Drawing and Disbursing Officer, NEC Secretariat, Shillong immediately after receipt.

2. S.O.(Acctts), NEC Sectt, Shillong for information and necessary action. The amount may be credited to the Finance Officer, Gauhati University, Assam **Account No. 10243131817 (IFSC Code : SBIN0002060 & MICR Code : 781002015) with State Bank of India, Gauhati University Branch.**

3. Guard File.

  
(Kh. Siile Anthony)  
Director (HRD&E)

GOVERNMENT OF INDIA  
NORTH EASTERN COUNCIL SECRETARIAT  
NONGRIM HILLS: SHILLONG – 793003

No.NEC/HRD&E/26/2015

Dated: the 31<sup>st</sup> July,2018

To,

The Accounts Officer,  
PAO, North Eastern Council,  
Nongrim Hills,  
Shillong – 3.

**Sub: “Setting up of Brahmaputra Studies Centre” at Gauhati University Campus, Jalukbari, Gauhati”**

Sir,

Secretary, NEC in exercise of the financial powers delegated by the Government of India, Ministry of DoNER vide letter No. 4/1/2010-DoNER(NEC) dtd. 7<sup>th</sup> September,2012& OM No. 1/1/2014-DoNER(NEC) dated 26<sup>th</sup> November, 2014 has accorded **Administrative Approval** towards the proposal **“Setting up of Brahmaputra Studies Centre” at Gauhati University Campus, Jalukbari, Gauhati** at an estimated cost of **Rs. 27.9933 Crore (Rupees twenty seven crore ninety nine lakh thirty three thousand)** only to be implemented Gauhati University, Assam. The project is approved at a cost of Rs. 27.9933 Crore as per the approval of Standing Finance Committee(SFC) meeting held on 19<sup>th</sup> February,2018 in the Ministry of DoNER, New Delhi vide OM No. NEC-11/14/2017-)O/o DIR(NEC) dated 26<sup>th</sup> February,2018. The administrative approval was conveyed by Ministry of DoNER, New Delhi vide letter No. NEC-11/13/2016-O/o US(NEC) dated 1<sup>st</sup> June,2018. The funding is under Major Head “2552/35.

2. This sanction order is issued subject to the following conditions:-
  - a) The land on which this project will be constructed and the assets/facilities created out of Government Grant will not be transferred/sold /alienated without the approval of NEC and Ministry of DoNER, Government of India.
  - b) The Gauhati University shall ensure proper upkeep, maintenance and operation of the assets/facilities created out of the project.
3. The project is being sanctioned for “Setting up of Brahmaputra Studies Centre” at Gauhati University Campus, Jalukbari, Gauhati”
4. The University should submit to NEC the technically sanctioned detailed estimate alongwith the detailed design drawing before actual commencement of the work on the ground.
5. The nodal department will be the Gauhati University. All necessary codal procedure should be followed for carrying out the construction work and procurement.
6. As per the recommendation of Standing Finance Committee (SFC) meeting held on 19<sup>th</sup> Febryary,2018 in the Ministry of DoNER, New Delhi vide OM No. NEC-11/14/2017-)O/o DIR(NEC) dated 26<sup>th</sup> February,2018, the following terms and conditions shall be followed :
  - i) The North Eastern Council, Ministry of DoNER will fully fund the project for its non-recurring component (Rs. 27.9933) Crore as vetted by NEC Technical wing.
  - ii) For the recurring component shall be decided after receipt of details sought from Gauhati University.
  - iii) For this component, support shall be provided as Grant, which will be reduced/tapered gradually in a mutually agreed configuration. Simultaneously, it will be responsibility of Head of the Study Centre, Gauhati University (GU) to gradually increase its own resources through taking up projects or provision of paid services to various stakeholders/clients to ensure sustainability of the Centre.

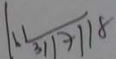
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- iv) The Gauhati University to start the process of developing tie-ups & expert networks as well as plan fund generation at the earliest and to rope in potential funding agencies. The University will indicate the possible sources of recurring fund for sustainability while submitting the detail proposal with annual breakup of fund requirement. The Head of the Centre will raise fund from the very first year of inception of the Centre. Work should start immediately without waiting for the physical infrastructure to come up as that the centre can go ahead with the fulfilment of its aim and objectives.
- v) A sanction order will be issued for non-recurring component and an amount of Rs. 10.00 lakhs will be released against a grant of Rs. 27.9933 Crore, for raising tenders. Money will be released phase wise based on projection of specific requirements and milestones as per NEC guidelines.
- vi) Gauhati University is to rework the recurring cost and submit the details to the Ministry at the earliest along with proposed phasing etc.
- vii) The following shall be suitably incorporated to the objectives/organisation of the study centre :
  - a) The study centre shall avail the services from visiting faculty and scientists on contract basis rather than recruiting permanent faculty & staff. Recurring cost as grant for initial years based on year wise projection will be considered.
  - b) The Centre for Brahmaputra studies at Gauhati University should advise on policy matters, act as a think tank and strengthen cooperation and coordination among stakeholders. Policy makers should be part of the initiative and the policy makers and practitioners must get the required knowledge from this centre. It should be the regional knowledge hub for expert inputs on comprehensive management and development of Brahmaputra and Barak Basin.
  - c) All the eight North Eastern States have to be represented in the centre as members in the Governing Board. There must be very strong stakeholder's participation in the project that may be worked out by the University and reported to the Ministry. Some of the stakeholders can be the institutions like the Inland Waterway Authority of India(IWAI), Central Water and Power Research Station (CWPRS), Pune, Department of Fisheries, Department of Water Resources Department of Drinking Water, Agriculture etc.
  - d) Emphasis need not be only on the engineering aspects. Rather the centre should explore the effective structural and non-structural measures vis-avis the problems of flood, erosion and sedimentation in the Brahmaputra Basin States. Studies should be more on measures to strengthen flood resilience with community and stakeholder's participation rather than merely on flood control. The centre should also study the possible bioengineering interventions along the rivers and pilot projects should be taken up for that.
  - e) The recommendations from the "Conclave on Brahmaputra River Basin" organised by Gauhati University in collaboration with North Eastern Council and sponsored by Ministry of DoNER during 12-13 February,2018 should be the leveraged as seminal working paper for the Centre for Brahmaputra studies.
  - f) The centre should take up the required studies on the proposed Brahmaputra Expressway. On matters of direct hydrological measurement using data loggers, as proposed by Gauhati University, it was advised by the Commissioner, B&B, MOWRD to utilize the available data under the National Hydrology project thus avoiding duplication of the data generation.
  - g) The organizational structure and working model as presented by Gauhati University may be adopted with minor modifications in consultation with the Ministry of DoNER.

- h) The study centre should take up part of the proposed study on Brahmaputra as declared by the Hon'ble Prime Minister of India. It should also study and suggest how the riverin areas reclaimed through river action may be utilized dynamically for the flood and erosion affected people. Survey of these areas should be done by the Centre for Brahmaputra studies in association with the concerned Revenue Department.
7. The Registrar, Gauhati University, Assam would submit the quarterly physical & financial progress report in the prescribed proforma of NEC to the Financial Adviser, Director (HRD&E) NEC Sectt, Shillong by the 15<sup>th</sup> of the next month followed by each quarter.
  8. It will be opened to NEC & DoNER to monitor the progress of work as and when felt necessary. NEC's funding for the above scheme should be acknowledged by way of prominently displaying a board at the project site, indicating that the scheme has been financed by the NEC. The board should also provide information on the date of sanction of the scheme, cost of the scheme, date of commencement of the scheme, date of completion of the scheme etc.
  9. Proper monitoring of the works should be carried out by the concerned Department and Planning Department, Government of Assam so as to complete the work as per specification, within the cost and time period.
  10. The fund should be utilized only for the purpose for which it has been approved. No diversion of fund for any other purpose would be allowed. Recurring expenditure after completion of the scheme shall be borne by the Government of Assam.
  11. The fund must be released to the Implementing Agency within one month of receipt of fund from NEC by the State Government, or within the current financial year whichever is earlier.
  12. Utilization Certificate in GFR12-A for the fund released by NEC, Progress Report and photographs to be submitted after each release. The Registrar, Gauhati University, Assam would request the NEC to release the next instalment. The documents are to be signed by the concerned department and countersigned by the Planning Department of the State Government.
  13. The project should be completed within 31.03.2020 from the date of sanction of the project as indicated in the DPR. Hence, monthly and quarterly action plan should be drawn up and submitted to NEC Secretariat indicating the milestones to be achieved within definite timeframe in order to facilitate rigorous review and monitoring.
  14. Audited statement of expenditure from Charter Accountant to be submitted for the entire amount released by NEC as well before the NEC can consider the release of final instalment.
  15. The Registrar, Gauhati University, Assam would furnish the utilization of the final instalment, completion report, photographs and any other such relevant documents for considering the closure of the project.

16. The Centre for Brahmaputra Studies is proposed to be "one stop destination and resolution point for all issues and problems that may emerge from time to time with respect to the Brahmaputra River System from a plan North East Purview" and strive to be a Centre of excellence in the subject matter earmarked for them.
17. The above expenditure is debit to the scheme "Infrastructure Development of Educational Institutes in NE Region" against the following head of account
- Demand No. 023  
Major Head – 2552  
Sub Major Head – 00  
Minor Head -800  
Sub Head- 49  
Detailed Head -00  
Object Head -35 Grants for Capital Assets.
18. This issues with the concurrence of Financial Adviser, NEC vide **Dy.No. 44 dated: 25/07/2018**

Yours faithfully,

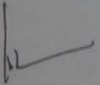
  
(Kh. Siile Anthony)  
Director (HRD&E)

No.NEC/HRD&E/26/2015

Dated: the 31<sup>st</sup> July,2018

Copy forwarded to the:-

1. Secretary to Hon'ble Governor, Assam, Guwahati.
2. Secretary to Hon'ble Chief Minister, Assam, Guwahati.
3. Chief Secretary, Government of Assam, Guwahati.
4. Principal Secretary (Planning), Govt. of Assam, Guwahati.
5. Director (NEC), Ministry of DoNER, Maulana Azad Road, Vigyan Bhavan Annexe, New Delhi-110011.
6. Secretary, Department of School Education, Govt. of Assam, Guwahati.
7. Director, Education Department, Government of Assam, Guwahati.
8. The Registrar, Guwahati University, Gauhati.
9. Accountant General (Audit), Meghalaya, Shillong.
10. Accountant General (Audit), Assam, Guwahati.
11. P.S. to Secretary, NEC Sectt, Shillong.
12. Planning Adviser, NEC Sectt, Shillong.
13. Financial Adviser, NEC Sectt, Shillong.
14. Director (E&M), NEC Sectt, Shillong.
15. Director (IPR), NEC Sectt, Shillong.
16. Director (Planning), NEC Sectt, Shillong.
17. Finance Wing, NEC Sectt, Shillong.
18. NIC, NEC Sectt, Shillong
19. Guard file.

  
(Kh. Siile Anthony)  
Director (HRD&E)